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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A01472  
RDB/bk

In re application of:  
Newman M. Bortnick et al.

Serial No.: 10/828,666 : Group Art Unit: 1714  
: Confirmation No. 4916  
Filed: April 21, 2004 : Examiner: NYA

For: **COMPOSITE COMPOSITIONS INCLUDING POLYMERIC  
NANOPARTICLES AND CLAY NANOPARTICLES**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement  
List of Art Cited by Applicant  
Copies of Cited Art - 6 references  
Return Receipt Postcard

July 8, 2004  
Date

Becky Knauth  
Signature



PATENT

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**INFORMATION DISCLOSURE STATEMENT**

Sir:


In accordance with Applicants' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicants submit herewith copies of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement and/or its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed in the attached form PTO-1449 is included herewith.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Ronald D. Bakule". The signature is fluid and cursive, with the first name "Ronald" being more prominent.

Ronald D. Bakule  
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Rohm and Haas Company  
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July 8, 2004



Sheet 1 of 1

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

Atty Docket No. <b>A01472</b>	Serial No. <b>10/828,666</b>
Applicant <b>Newman M. Bortnick et al.</b>	
Filing Date <b>April 21, 2004</b>	Group <b>1714</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	4,810,734	03-07-1989	Kawasumi et al.	523/216	
	AB	4,889,885	12-26-1989	Usuki et al.	524/445	
	AC	6,271,298B1	08-07-2001	Powell	524/445	
	AD	5,883,173	03-16-1999	ElsPASS et al.	524/446	
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
		WO02/070589 A2	09-12-2002	WIPO		

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

	AR	Xavier Kornmann; Synthesis and Characterisation of Thermoset-Clay Nanocomposites; Lulea University of Technology; 29 pages
	AS	
	AT	

Examiner

Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.